

04-20-01

A

04/19/01
J1033 U.S. PTO

BAKER BOTTS LLP

30 ROCKEFELLER PLAZA
44TH FLOOR
NEW YORK, NEW YORK
10112-4498
212 705.5000
FAX 212 705 5020

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
WASHINGTON

Appln. Trans.
PATENT

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A33781-072448.0308

First Named Inventor BERTRAM JACOBS

Express Mail Label No. EF321686168US

Total Pages

J1033 U.S. PTO
09/837998
04/19/01

April 19, 2001

BY EXPRESS MAIL - Label No. EF321686168US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of BERTRAM JACOBS, JEFFREY LANGLAND and SANGEETHA VYAYSRI entitled VIRAL VECTORS HAVING REDUCED VIRULENCE

which includes:

<input checked="" type="checkbox"/> Specification	<u>10</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>4</u> Total Sheets
<u> </u> formal	
<u>X</u> informal	

☐ Combined Declaration and Power of Attorney Total Pages

☐ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional
of prior application No.

☐ Continuation-In-Part (CIP)

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. filed ."

09837998 " 041901

Appln. Trans.
PATENT

Attorney Docket No. A33781-072448.0308

- [X] An Assignment of the invention to ARIZONA BOARD OF REGENTS.
 ☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 [X] will follow.
 ☐ has been filed in the prior application

- ☐ Small Entity Statement(s) **ENCLOSED**.
☐ Small Entity Statement filed in prior application. Status still proper and desired.

- ☐ Information Disclosure Statement (IDS) PTO-1449
 ☐ Copies of IDS Citations.

☐ Preliminary Amendment

[X] Return Receipt Postcard

☐ Other _

☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee				\$355.00			\$
Total Claims	6	-20 = 0	x 9 =	\$0.00	x 18 =	\$0.00	
Ind. Claims	2	-3 = 0	x 40 =	\$0.00	x 80 =	\$0.00	
Multiple Dependent Claim			+ 135 =		+ 270 =		
			Total	<u>\$355.00</u>			<u>\$0.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

[X] Enclosed

[X] Basic filing fee \$355.00

☐ Recording Assignment \$0.00
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$355.00

[X] A check in the amount of \$355.00 to cover filing fee enclosed.

SCANNED, # 24

Appln. Trans.
PATENT

Attorney Docket No. A33781-072448.0308

Priority

- ☐ Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By Janet M MacLeod
Janet M. MacLeod
PTO Registration No. 35,263

Enclosures

106740-8664E360